IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s):

Igor Y. Botvinnik CIP of 10/717,420

Appl. No.: Filed:

February 9, 2004

Title: El

Electrostatic Precipitators with Insulated Driver

Electrodes

PATENT APPLICATION

Art Unit: Examiner:

Unknown Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22212, 1450, an Enhance of 2004

(Attorney Signature)

1450, Alexandria, VA 22313-1450, on February 9, 2004.

Jeffrey R. Kurin, Reg. No. 41,132 Signature Date: February 9, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- X Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Copies of cited foreign patent documents and non-patent literature are enclosed in accordance with 37 C.F.R. §1.98(a)(2), as still required.
- The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Additionally, all cited foreign patent documents and non-patent literature are not enclosed because they were previously submitted in an Information Disclosure Statement by applicant in a parent application (see legend at end of Form PTO-1449), from which benefit under 35 U.S.C. §120 is claimed, which Information Disclosure Statement complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).
- The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed, marked by an asterisk (*). The items not asterisked were

JRK/SHPR-01436US0/IDS.doc Page 1 of 9

previously submitted by applicant in a parent application (see Legend at end of Form PTO-1449), from which benefit under 35 U.S.C. §120 is claimed, with an Information Disclosure Statement submitted in the parent application which complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

This statement should be considered because:

<u>X</u>	37 CFF	R §1.97(b). This statement qualifies under 37 CFR §1.97, <u>subsection (b)</u> because:
	(1)	It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d); OR
	(2)	It is being filed within 3 months of entry of a national stage; OR
	(3)	It is being filed before the mailing date of the first Office Action on the merits, OR
	` '	s being filed before the mailing date of the first Office Action after the filing of a quest for Continued Examination under 37 CFR §1.114.
_		R §1.97(c). Although it may not qualify under subsection (b), this statement is under 37 CFR §1.97, subsection (c) because:
	(1)	It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
		AND (check at least one of the following)
		(1) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e). OR
		(2) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).
		R §1.97(d). Although it may not qualify under subsection (b) or (c), this statement is under 37 CFR §1.97, subsection (d) because:
	(1)	It is being filed on or before payment of the issue fee; AND
	(2)	It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e); AND
	(3)	It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).
	nal fees	tion. The Commissioner is hereby authorized to charge underpayment of any or credit any overpayment associated with this communication to Deposit Account

Respectfully submitted,

Fliesler Meyer LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 415/362-3800 Telephone

Date: February 9, 2004

<u>X</u>

FORM PTO-1449 (Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION **BY APPLICANT**

Attorney Docket Number
SHPR-01436US0

Serial No.

CIP of 10/717,420

Applicant

Igor Y. Botvinnik

Filing Date

February 9, 2004

Group Art Unit Unknown

			U.S. PATENTS			
Examiner Initial	Patent Number	Issue Date	Name	Class	Subclass	Filing Date
	1,791,338 A	2/3/1931	Wintermute			4/12/1927
	1,869,335 A	7/26/1932	Day			12/13/1926
	2,327,588 A	8/24/1943	Bennett			6/1/1940
	2,359,057 A	9/26/1944	Skinner			2/28/1942
	2,509,548 A	5/30/1950	White			5/27/1948
	2,949,550 A	8/16/1960	Brown			7/3/1957
	3,018,394 A	1/23/1962	. Brown			7/3/1957
	3,026,964 A	3/27/1962	Penney			5/6/1959
	3,518,462 A	6/30/1970	Brown			8/21/1967
	3,581,470 A	6/1/1971	Aitkenhead et al.			12/30/1969
	3,638,058 A	1/25/1972	Fritzius			6/8/1970
	3,744,216 A	7/10/1973	Halloran			8/7/1970
	3,981,695 A	9/21/1976	Fuchs	Δ. (11/2/1973
	3,984,215 A	10/5/1976	Zucker			1/8/1975
	4,052,177 A	10/4/1977	Kide			3/1/1976
	4,092,134 A	5/30/1978	Kikuchi			6/3/1976
	4,138,233 A	2/6/1979	Masuda			6/16/1977
	4,209,306 A	6/24/1980	Feldman et al.			11/13/1978
	4,227,894 A	10/14/1980	Proynoff			10/10/1978
	4,231,766 A	11/4/1980	Spurgin			12/11/1978
	4,232,355 A	11/4/1980	Finger et al.			1/8/1979
	4,244,710 A	1/13/1981	Burger			5/9/1978
	4,244,712 A	1/13/1981	Tongret			3/5/1979
	4,253,852 A	3/3/1981	Adams			11/8/1979
	4,259,452 A	3/31/1981	Yukuta et al.			5/15/1979
	4,266,948 A	5/12/1981	Teague et al.			1/4/1980
	4,282,014 A	8/4/1981	Winkler et al.			5/21/1979
	4,284,420 A	8/18/1981	Borysiak			8/27/1979

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION BY APPLICANT

Attorney Docket Number
SHPR-01436US0

Serial No. CIP of 10/717,420

Applicant

Igor Y. Botvinnik

Filing Date February 9, 2004 Group Art Unit Unknown

	4,318,718 A	3/9/1982	Utsumi et al.	7/14/1980
	4,342,571 A	8/3/1982	Hayashi	6/14/1978
	4,357,150 A	11/2/1982	Masuda et al.	2/5/1981
	4,386,395 A	5/31/1983	Francis, Jr.	12/19/1980
	4,413,225 A	11/1/1983	Donig et al.	7/17/1981
-	4,445,911 A	5/1/1984	Lind	12/15/1981
	4,477,263 A	10/16/1984	Shaver et al.	6/28/1982
	4,496,375 A	11/29/1985	Le Vantine	6/14/1983
	4,502,002 A	2/26/1985	Ando	9/2/1982
	4,509,958 A	4/9/1985	Masuda et al.	10/8/1982
	4,516,991 A	5/14/1985	Kawashima	4/25/1982
	4,536,698 A	8/20/1985	Shevalenko et al.	8/25/1983
	4,587,475 A	5/6/1986	Finney, Jr. et al.	.7/25/1983
	4,600,411 A	7/15/1986	Santamaria	4/6/1984
	4,601,733 A	7/22/1986	Ordines et al.	9/27/1984
	4,626,261 A	12/2/1986	Jorgensen	12/12/1985
	4,643,745 A	2/17/1987	Sakakibara et al.	12/17/1984
	4,659,342 A	4/21/1987	Lind	4/30/1984
	4,674,003 A	6/16/1987	Zylka	4/3/1985
	4,686,370 A	8/11/1987	Blach	2/13/1985
	4,689,056 A	8/25/1987	Noguchi et al.	8/29/1986
	4,694,376 A	9/15/1987	Gesslauer	9/26/1985
	4,713,093 A	12/15/1987	Hansson	7/8/1986
	4,713,724 A	12/15/1987	Voelkel	6/30/1986
	4,726,812 A	2/23/1988	Hirth	3/26/1987
	4,726,814 A	2/23/1988	Weitman	6/27/1986
	4,772,297 A	9/20/1988	Anzai	8/19/1986
	4,779,182 A	10/18/1988	Mickal et al.	6/24/1986
	4,781,736 A	11/1/1988	Cheney et al.	11/20/1986
	4,786,844 A	11/22/1988	Farrell et al.	3/30/1987

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number SHPR-01436US0 Serial No. CIP of 10/717,420

Applicant

Igor Y. Botvinnik

Filing Date February 9, 2004

Group Art Unit Unknown

INFORMATION DISCLOSURE CITATION	
BY APPLICANT	

			February 9, 2004	Unknown
	4,789,801 A	12/6/1988	Lee	4/3/1987
	4,808,200 A	2/28/1989	Dallhammer et al.	11/12/1987
	4,811,159 A	3/7/1989	Foster, Jr.	3/1/1988
	4,940,470 A	7/10/1990	Jaisinghani et al.	3/23/1988
	4,941,068 A	7/10/1990	Hofmann	8/1/1988
	5,010,869 A	4/30/1991	Lee	8/11/1989
	5,024,685 A	6/18/1991	Torok et al.	6/30/1988
	Re. 33,927	5/19/1992	Fuzimura	9/15/1989
	5,141,529 A	8/25/1992	Oakley et al.	6/28/1990
	5,183,480 A	2/2/1993	Raterman et al.	10/28/1991
	5,196,171 A	3/23/1993	Peltier	3/11/1991
	5,215,558 A	6/1/1993	Moon	6/11/1991
	5,217,504 A	6/8/1993	Johansson	3/20/1990
	5,296,019 A	3/22/1994	Oakley et al.	8/24/1992
	5,302,190 A	4/12/1994	Williams	6/8/1992
	5,315,838 A	5/31/1994	Thompson	8/16/1993
	5,316,741 A	5/31/1994	Sewell et al.	5/30/1991
	5,378,978 A	1/3/1995	Gallo et al.	4/2/1993
, w	5,437,713 A	8/1/1995	Chang	12/1/1994
	. 5,484,472 A	1/16/1996	Weinberg	2/6/1995
	5,484,472 C1	2/20/2001	Weinberg	2/6/1995
	5,535,089 A	7/9/1996	Ford et al.	10/17/1994
	5,578,112 A	11/26/1996	Krause	6/1/1995
	5,601,636 A	2/11/1997	Glucksman	5/30/1995
	5,656,063 A	8/12/1997	Hsu	1/29/1996
	5,667,564 A	9/16/1997	Weinberg	8/14/1996
	5,669,963 A	9/23/1997	Horton et al.	12/26/1995
	5,698,164 A	12/16/1997	Kishioka et al.	3/30/1995
	5,702,507 A	12/30/1997	Wang	9/17/1996
	5,779,769 A	7/14/1998	Jiang	10/24/1995

FORM PTO-1449 (Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Attorney Docket Number	Serial No.	
SHPR-01436US0	CIP of 10/717,420	
Applicant		

INFORMATION DISCLOSURE CITATION BY APPLICANT

Applicant

Igor Y. Botvinnik

Filing Date
February 9, 2004

Group An Unit
Unknown

L			February 9, 2004	Unknown
	5,814,135 A	9/29/1998	Weinberg	9/15/1997
	5,879,435 A	3/9/1999	Satyapal et al.	1/6/1997
	5,893,977 A	4/13/1999	Pucci -	5/12/1997
	5,911,957 A	6/15/1999	Khatchatrian et al.	10/23/1997
	5,972,076 A	10/26/1999	Nichols et al.	9/28/1998
	5,975,090 A	11/2/1999	Taylor et al.	9/29/1988
	5,997,619 A	12/7/1999	Knuth et al.	7/10/1998
	6,019,815 A	2/1/2000	Satyapal et al	9/11/1998
	6,042,637 A	3/28/2000	Weinberg	9/28/1998
	6,063,168 A	5/16/2000	· Nichols et al.	8/11/1997
	6,086,657 A	7/11/2000	Freije	2/16/1999
	6,118,645 A	9/12/2000	Partridge	4/6/1995
	6,126,722 A	10/3/2000	Mitchell et al.	7/28/1998
	6,126,727 A	10/3/2000	Lo	1/28/1999
	6,149,717 A	11/21/2000	Satyapal et al.	12/22/1998
	6,149,815 A	11/21/2000	Sauter	11/23/1999
	6,152,146 A	11/28/2000	Taylor et al.	6/25/1999
	6,163,098 A	12/19/2000	Taylor et al.	1/14/1999
	6,176,977 B1	1/23/2001	Taylor et al.	11/5/1998
	6,182,461 B1	2/6/2001	Washburn et al.	7/16/1999
	6,182,671 B1	6/6/2001	Taylor et al.	10/8/1999
	6,193,852 B1	2/27/2001	Caracciolo et al.	5/28/1997
	6,212,883 B1	4/10/2001	Kang	3/3/2000
	6,228,149 B1	5/8/2001	Alenichev et al.	1/20/1999
	6,252,012 B1	6/26/2001	Egitto et al.	8/30/1999
	6,270,733 B1	8/7/2001	Rodden	2/17/2000
	6,277,248 B1	8/21/2001	Ishioka et al.	1/5/2000
	6,282,106 B2	8/28/2001	Grass	12/20/2000
	D449,097 S	10/9/2001	Smith et al.	5/1/2000
	6,302,944 B1	10/16/2001	Hoenig	4/18/2000

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION
BY APPLICANT

Attorney Docket Number
SHDB-014361

Serial No. CIP of 10/717,420

Applicant

Igor Y. Botvinnik

Filing Date

Group Art Unit

				February 9, 2004	4 Unknown	
	D449,679 S	10/23/2001	Smi	th et al.		5/1/2000
	6,309,514 B1	10/30/2001	Con	rad et al.		11/7/1994
	6,312,507 B1	11/6/2001	Tay	or et al.		2/12/1999
	6,315,821 B1	11/13/2001	Pilli	on et al.		1/11/2001
	6,328,791 B1	12/11/2001	Pilli	on et al.		5/3/2000
	6,348,103 B1	2/19/2002	Ahlb	orn et al.		4/3/1999
	6,350,417 B1	2/26/2002	La	u et al.		5/4/2000
	6,362,604 B1	3/26/2002	C	ravey		9/28/1999
	6,372,097 B1	4/16/2002	(Chen		4/14/2000
	6,373,723 B1	4/16/2002	Walls	gren et al.		6/18/1999
	6,379,427 B1	4/30/2002		Siess		12/6/1999
	6,391,259 B1	5/21/2002	Mal	kin et al.		6/26/1997
-	6,447,587 B1	9/10/2002	Pilli	on et al.		12/6/2001
	6,451,266 B1	9/17/2002	La	u et al.		9/25/2000
	6,464,754 B1	10/15/2002		Ford		2/29/2000
	6,471,753 B1	10/29/2002	Ah	n et al.		10/29/2002
	6,504,308 B1	1/7/2003	Krichta	fovitch et al.		10/14/1999
	6,544,485 B1	4/8/2003	Т	aylor		1/29/2001
	6,585,935 B1	7/1/2003	Tay	lor et al.		11/20/1998
	6,588,434 B1	7/8/2003	Tay	lor et al.		7/2/2002
	6,603,268 B2	8/5/2003		Lee		12/22/2000
	6,613,277 B1	9/2/2003	Me	onagan		6/18/1999
	6,632,407 B1	10/14/2003	La	u et al.		9/25/2000
		ILS. PA	TENT PUBLIC	CATIONS		
Examiner Initial			cation Date	Applio	ant	
	2001/0004046 A1		6/2	1/2001	Taylor et al.	
	2001/00489	06 A1	12	/6/2001	Lau et al.	
	2002/00697	60 A1	6/1	3/2002	Pruette	et al.

6/27/2002

Taylor et al.

2002/0079212 A1

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE CITATION BY APPLICANT

Attorney Docket Number
SHPR-01436US0

Serial No. CIP of 10/717,420

Applicant

Igor Y. Botvinnik

Filing Date February 9, 2004

Group Art Unit Unknown

	FORE	ZIGN PATENT DOCUMEN	NTC	1		
	10/405,193	4/1/2003	Taylor		X	
	10/278,193	10/21/2002	Reeves		X	
xaminer Initial	Application Number	Filing Date	Inventor	Petition Yes	No Expui	
<u> </u>	PENDING	G U.S. PATENT APPLICA	TIONS	Dottelo	to Francis	
		,				
	2003/0206840 A1	11/6/2003		Lee Lee Katou et al. Lau et al. Taylor et al. Taylor et al. Taylor et al.		
	2003/0206839 A1	11/6/2003				
	2003/0206837 A1	11/6/2003				
	2003/0196887 A1	10/23/2003				
	2003/0005824 A1	1/9/2003				
	2002/0195951 A1	12/26/2002				
	2002/0190658 A1	12/19/2002				
	2002/0170435 A1		11/21/2002 Ivicklinicy, 31			
	2002/0155041 A1	10/24/2002		Sinaiko et al. Taylor et al. Leiser McKinney, Jr. et al.		
	2002/0152890 A1	10/24/2002				
	2002/0150520 A1	10/17/2002				
	2002/0146356 A1	10/10/2002				
			· · · · · · · · · · · · · · · · · · ·	Palestro et al.		
	2002/0134003 A1 2002/0141914 A1	10/3/2002		Taylor Taylor et al. Taylor et al. Lau et al.		
	2002/0134664 A1 2002/0134665 A1	9/26/2002				
	2002/0127156 A1	9/12/2002	-			
	2002/0122752 A1	9/5/2002	Taylor			
	2002/0122751 A1	9/5/2002	Sinaiko			
	2002/0100488 A1	8/1/2002	Taylor			
	2002/0098131 A1	7/25/2002	Taylor			

Publication Date

Document Number

Examiner Initial

Country

Translation

Yes

(Substitute)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

I	Attorney Docket Number
ı	SHDB-01436HS

Serial No. CIP of 10/717,420

Applicant

Igor Y. Botvinnik

INFORMATION DISCLOSURE CITATION BY APPLICANT

Filing Date Group Art Unit
February 9, 2004 Unknown

	WO00/10713 A1	3/2/2000	International	1		
	WO 01/47803 A1	7/5/2001	International	1		
	WO 01/48781 A1	7/5/2001	International	1		
	WO01/64349 A1	9/7/2001	International	1		
	WO01/85348 A2	11/15/2001	International	1		
	WO02/20162 A2	3/14/2002	International	1		
	WO02/20163 A2	3/14/2002	International	1		
	WO02/30574 A1	4/18/2002	International	1		
	WO02/32578 A1	4/25/2002	International	1		
	WO02/42003 A1	5/30/2002	International	1		
	WO02/066167 A1	8/29/2002	International	1		
	WO03/009944 A1	2/6/2003	International	1		
	WO03/013620 A1	2/20/2003	International	1		
	EP 0433152 A1	12/10/1990	Europe	1		
	FR 2690509	10/29/1993	France	1		
	JP 10137007	5/26/1998	Japan	1		
	JP 11104223	4/20/1999	Japan	1		
	JP 2000236914	9/5/2000	Japan	1		
	OTHER DOCUM	ENTS (Including Author, Title, D	ate, Pertinent Pages, etc.)			
	"Zenion Elf Device," drawing,		<u> </u>			
	Electrical schematic and promo	tional material available from Zer	nion Industries, 7 pages, Augus	t 1990.		
	Promotional material available from Zenion Industries for the Plasma-Pure 100/200/300, 2 pages, August 1990. Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990.					
	LENTEK Silā™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999, 13 pages.					
						

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Copies of foreign patent documents and non-patent literature are not submitted because they were submitted in prior application 10/717,420, filed November 19, 2003, and relied upon under 35 USC §120.